CASE LD03

#### CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Elliott Korsen Type or print name

Signature

12/08/04

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

BHIDE ET AL.

**APPLICATION NO: 10/623,171** 

FILED: JULY 18, 2003

FOR: NOVEL INHIBITORS OF KINASES

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# SUBMISSION OF SUBSTITUTE DECLARATION AND PETITION FOR CORRECTION OF INVENTORSHIP

Sir:

Applicants hereby submit a Substitute Declaration and a Petition for Correction of Inventorship, copies enclosed herewith, for the above-captioned matter.

The Commissioner is hereby authorized to charge the \$130.00 fee under 37 CFR § 1.17(i) and any additional fees that may be required, or credit any overpayment, to Account No. 19-3880 in the name of Bristol-Myers Squibb Company. An additional copy of this paper is enclosed.

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Respectfully submitted,

**Bristol-Myers Squibb Company** Patent Department P.O. Box 4000

Princeton, NJ 08543-4000

609-252-4741

Date: December 8, 2004

Elliott Korsen

Attorney for Applicants

Reg. No. 32,705

DEE 10 2001 HELD

#### **CERTIFICATE OF MAILING**

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Elliott Korsen

Type or print name

Signature

12/08/04

Date

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

Bhide et al.

**APPLICATION NO: 10/623,171** 

FILED: July 18, 2003

FOR: Novel Inhibitors of Kinases

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# <u>UNDER § 1.48 (a) (1)</u>

Sir:

I hereby request under 37 C.F.R. § 1.48 (a) (1) that the names of the following persons be added as Inventors in the above non-provisional application.

<u>Name</u>

Residence

Louis Lombardo

Belle Mead, New Jersey

Jeffrey Robl

Newtown, Pennsylvania

The above Inventors were erroneously omitted as Inventors without deceptive intent on our part. Please charge the \$130 fee set forth in 37 C.F.R. §1.17(i) to Deposit Account No. 19-3880 in the name of Bristol-Myers Squibb Company.

Respectfully submitted,

Elliott Korsen

Attorney for Applicants

Reg. No. 32,705

Bristol-Myers Squibb Company

Princeton, NJ 08543-4000

Patent Department

P.O. Box 4000

#### CERTIFICATE OF MAILING

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E 1.8

Elliott Korsen
Type or print name

Signature

12/08/04

Date

THIN RE AL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRUNKE APPLICATION OF

BHIDE ET AL.

**APPLICATION NO: 10/623,171** 

FILED: JULY 18, 2003

FOR: NOVEL INHIBITORS OF KINASES

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT OF INVENTORS FOR CORRECTION OF INVENTORSHIP UNDER 37 CFR §1.48(a)(2)

Sir:

- Now comes Louis Lombardo and Jeffrey Robl who depose and say that their names
  were erroneously excluded as joint inventors on the above-identified application and
  they contributed to inventive aspects of the present invention claimed therein. This
  error occurred without deceptive intent.
- It is requested that Louis Lombardo and Jeffrey Robl be added as inventors of the
  above-identified application. Thus, the inventorship of the above-identified application
  should be changed from Rajeev S. Bhide, Zhen-Wei Cai, Ligang Qian and Stephanie
  Barbosa to Rajeev S. Bhide, Zhen-Wei Cai, Ligang Qian, Stephanie Barbosa, Louis
  Lombardo and Jeffrey Robl.
- 3. The Commissioner is authorized to charge the fee of \$130.00, set forth under 37 CFR §1.17(i), and any additional fees that may be required to Account No. 19-3880.
- 4. The undersigned Petitioner declares further that all statements made herein of his own knowledge are true and that all statements made on information or belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 USC §1001 and that such willful false statements may jeopardize the validity of this application or any patent issuing thereon.

Docket No. D0310 NP USSN: 10/623,171

5. Further, the deponent saith not.

Date:

Louis Lombardo

Date: 7 12 2004

Jeffrey Robl

# CONSENT OF ASSIGNEE UNDER 37 CFR §1.48(a)

6. Petitioner, Bristol-Myers Squibb Company, is the owner of the entire right, title and interest in and to the invention claimed and disclosed in the above-identified application by virtue of assignment. Petitioner hereby consents to a change in inventorship in the above-identified application from Rajeev S. Bhide, Zhen-Wei Cai, Ligang Qian and Stephanie Barbosa to Rajeev S. Bhide, Zhen-Wei Cai, Ligang Qian, Stephanie Barbosa, Louis Lombardo and Jeffrey Robl.

Docket No. D0310 NO. USSN: 10/623,171

CERTIFICATION UNDER 37 CFR §3.73(b)

I, the undersigned, Terrify that I am an individual empowered to act on behalf of the Petitioner, Bristol-Myers Squibb Company, a corporation, the assignee of the entire right, title and interest of the above-identified application by virtue of an Assignment from the inventors of the application. I further certify that the Assignment has been recorded in the U.S. Patent and Trademark Office at Reel No. <u>014112</u>, Frame <u>0937</u>, on <u>11/07/03</u>, that I have reviewed that Assignment recorded at the reel and frame, and that to the best of my knowledge and belief, title is in the above-noted assignee.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 USC §1001 and that such willful false statements may jeopardize the validity of this application or any patent issuing thereon.

Date: <u>Dec 8,70049</u>

Stephen B. Davis, Patent Counsel

Reg. No. 26,693

Bristol-Myers Squibb Company Patent Department P.O. Box 4000 Princeton, NJ 08543-4000 609-252-4741

### CERTIFICATION

The undersigned, being the Executive Vice President and General Counsel of Bristol-Myers Squibb Company, hereby designates:

Suzanne Babajko Stephen B. Davis John M. Kilcoyne Christopher Klein Richard Ryan

(a) to present to the Patent, Trademark, Copyright and similar Government Offices of all countries or to any competent authority, all original, divisional, continuation and reissue applications, petitions and request in the name of Bristol-Myers Squibb Company and its subsidiary companies, for letters patent for inventions, utility models, industrial models and designs and improvements or additions thereof, trademarks and copyrights; (b) to initial and prosecute oppositions and appeals; (c) to appear before all officers duly authorized, all Ministers, Bureaus, Clerk's Offices and Commissions or Commissioners that may be necessary; (d) to sign and approve on behalf of this corporation all documents and powers of attorney which judgment of the authorized individuals may be necessary or desirable to carry out the powers hereby conferred; (e) to request all proceedings; (f) to pay over or withdraw all taxes, obtain receipts therefor and give discharge; (g) to withdraw applications and all documents relating thereto; (h) to commence proceedings against infringers and assure the defense in infringement actions; and (i) generally to do all that in the judgment of the authorized individual may be necessary to secure granting of the privileges above-mentioned.

IN WITNESS WHEREOF, I have placed my hand and caused the seal of the Company to be affixed hereto on this  $\mathfrak{F}^{\mathfrak{D}}$  day of APPU 2003.

Ohn L. McGoldrick
Executive Vice President
and General Counsel

Secretary



# CLARATION AND POWER OF ATTORNEY FOR U.S. PATENT APPLICATIONS

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name, and

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

# **NOVEL INHIBITORS OF KINASES**

the specification of which was filed on July 18, 2003 as U.S. Application No. 10/623,171.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims.

I acknowledge my duty to disclose all information which is known by me to be material to the patentability of this application as defined in 37 C.F.R. §1.56.

I hereby claim the benefit under 35 U.S.C. §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate listed below and under 35 U.S.C. §365(a) of any PCT international application(s) designating at least one country other than the United States listed below and have also listed below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States for the same subject matter and having a filing date before that of the application the priority of which is claimed for that subject matter:

None '

I hereby claim the benefit under 35 USC §119(e) of any United States provisional application(s) listed below:

Application No.

Filing Date

60/397,256

February 13, 2003

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) listed below and under 35 U.S.C. §365(c) of any PCT international application(s) designating the

United States listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in said prior application(s) in the manner required by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose all information known by me to be material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date(s) of the prior application(s) and the national or PCT international filing date of this application:

#### None

I hereby appoint the attorneys and agents associated with **Customer No. 23914**, respectively and individually, as my attorneys and agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please address all communications to the address associated with **Customer No. 23914**, which is currently Stephen B. Davis, Bristol-Myers Squibb Company, Patent Department, P.O. Box 4000, Princeton, NJ 08543-4000.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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H	IRST	JOI	VΙ	IN/	/EN	TOR:

Full name : Rajeev S. Bhide

Signature: Kajew Blid

Date : 021/13/04

Citizenship : United States of America

Residence : Princeton Junction, New Jersey

P.O. Address : 22 Hawthorne Drive Princeton Junction, New Jersey 08550

SECOND JOINT INVENTOR:

Full name : Zhen-Wei Cai

Signature : gheure Car

Date : 08/33/04

Citizenship : United States of America

Residence : Belle Mead, New Jersey

P.O. Address : 36 Hills Drive

Belle Mead, New Jersey 08502

THIRD JOINT INVENTOR:

Full name : Ligang Qian

Signature : Now Company

Date :  $\frac{69(13)04}{44400000}$ 

Citizenship : United States of America

Residence : Hopewell, New Jersey

P.O. Address : 11 Coventry Lane

Hopewell, New Jersey 08525

# 

FIFTH JOINT INVENTOR:

Full name : Louis Lombardo

P.O. Address

Signature : \_\_\_\_\_

Lambertville, New Jersey 08530

Date :  $\frac{10 \times 204}{(MM/DD/YY)}$ 

2 Big Top Drive

Citizenship : United States of America
Residence : Belle Mead, New Jersey

P.O. Address : 49 West Street

Belle Mead, New Jersey 08502

SIXTH JOINT INVENTOR:

Full name : Jeffrey Robl

Signature :

Date : 07/12/2004

Citizenship : United States of America

Residence : Newtown, Pennsylvania

P.O. Address : 7 Tulip Drive

Newtown, Pennsylvania 18940

FOURTH-JOINT INVE	NTOR:					
	Full name	:	Stephanie Barbosa			
·	Signature	:	Algani Barbaa			
	Date	:	07 (MM/DD/YY)			
	Citizenship	:	United States of America			
	Residence	:	Lambertville, New Jersey			
•	P.O. Address	:	2 Big Top Drive Lambertville, New Jersey 08530			
FIFTH JOINT INVENTO	OR:					
	Full name	:	Louis Lombardo			
	Signature	:	·			
	Date	:	(MM/DD/YY)			
	Citizenship	:	United States of America			
	Residence	:	Belle Mead, New Jersey			
	P.O. Address	:	49 West Street Belle Mead, New Jersey 08502			
SIXTH JOINT INVENTOR:						
	Full name	:	Jeffrey Robl			
·	Signature	:				
	Date	į				
			(MM/DD/YY)			
	Citizenship	:	United States of America			
	Residence	:	Newtown, Pennsylvania			
·	P.O. Address	:	7 Tulip Drive Newtown, Pennsylvania 18940			

IMPORTANT: Before this declaration is signed, the patent application (the specification, the claims and this declaration) must be read and understood by each person signing it, and no changes may be made in the application after this declaration has been signed.